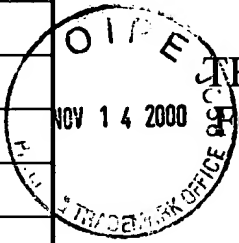

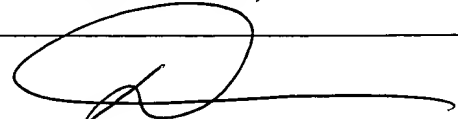



Section 1  
8

Applicant	S. Sarnikowski et al.	 <b>TRANSMITTAL FORM UNDER 37 CFR 1.8 (LARGE ENTITY)</b>
Serial No.	09/513,937	
Filing Date	February 28, 2000	
Group Art Unit	2739	
Examiner Name	Unknown	
Attorney Docket No.	100.112US02	
Title: SERVICE DELIVERY UNIT FOR AN ENTERPRISE NETWORK		

Commissioner for Patents  
**ATTN: BOX MISSING PARTS**  
 Washington, DC 20231

Enclosures								
<p><b>The following documents are enclosed:</b></p> <p><input checked="" type="checkbox"/> A Communication Re: Missing Parts (1 p.);</p> <p><input checked="" type="checkbox"/> A Copy of the Notice to File Missing Parts of Nonprovisional Application (1 pp.);</p> <p><input checked="" type="checkbox"/> 5 Signed Combined Declaration and Power of Attorney documents (each document is 3 pp.);</p> <p><input checked="" type="checkbox"/> A Request for Corrected Filing Receipt and attachment thereto (2 pp.);</p> <p><input checked="" type="checkbox"/> A check in the amount of \$808 for the filing fee;</p> <p><input checked="" type="checkbox"/> A check in the amount of \$130 for the Missing parts surcharge; and</p> <p><input checked="" type="checkbox"/> An itemized return-receipt postcard.</p> <p><b>Please charge any additional fees or credit any overpayments to Deposit Account No. 501373.</b></p>								
Fee Calculation								
							<b>Filing Fee</b>	
							\$710	
	<b>Number of Claims</b>			<b>Extra Claims</b>		<b>Fee</b>		<b>Fee Paid</b>
<b>Total Claims</b>	21	-20	=	1	X	\$ 18	=	\$ 18
<b>Independent Claims</b>	4	-3	=	1	X	\$ 80	=	\$ 80
<b>Multiple Dependent Claims Presented</b>						\$270	=	N/A
<b>Total</b>								\$808
Submitted By								
<b>Name</b>	David Fogg		<b>Reg. No.</b>	35,138		<b>Telephone</b>	(612) 252-0014	
<b>Signature</b>						<b>Date</b>	November <u>9</u> , 2000	
Certificate of Mailing								
<p>I certify that this correspondence, and the documents identified above, are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Attn: Box Missing Parts, Washington, D.C. 20231 on November <u>9</u>, 2000.</p>								
<b>Name</b>	David N. Fogg			<b>Signature</b>				

Applicant	S. Sarnikowski et al.	COMMUNICATION RE: MISSING PARTS 
Serial No.	09/513,937	
Filing Date	February 28, 2000	
Group Art Unit	2739	
Examiner Name	Unknown	
Attorney Docket No.	100.112US02	
Title: SERVICE DELIVERY UNIT FOR AN ENTERPRISE NETWORK		

Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231


Sir:

In response to the Notice to File Missing Parts of Application mailed April 24, 2000 (copy enclosed), submitted herewith are the executed Combined Declaration and Power of Attorney documents by the named inventors. Also enclosed is a check in the amount of \$130.00 for the missing parts surcharge and a check in the amount of \$808.00 for the filing fee. The application should now be in condition for examination. Please direct any inquiries to the undersigned attorney.

Please charge any further fees deemed necessary or credit any overpayment to Deposit Account No. 501373.

Respectfully submitted,

Date: November 9, 2000

  
\_\_\_\_\_  
David N. Fogg  
Reg. No. 35,138

DNF:ldg

Attorneys for Applicant  
Fogg Slifer & Polglaze, PA  
P.O. Box 581009  
Minneapolis, MN 55458-1009  
Tel: 612/252-0014  
Fax: 612/252-0019

## FORMALITIES LETTER



\*OC00000005071393\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/513,937	02/28/2000	Scott Sarnikowski	500.719US2

Schwegman Lundberg Woessner & Kluth P.A.  
Post Office Box 2938  
Minneapolis, MN 55402

Date Mailed: 04/24/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$96.
  - \$18 for 1 total claims over 20.
  - \$78 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 916.**

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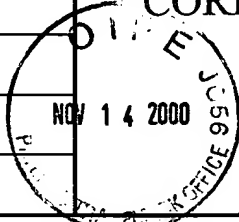
*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/16/2000 HVL061 00000032 09513937

01 FC:101 710.00 OP  
02 FC:105 130.00 OP  
03 FC:102 60.00 OP  
04 FC:103 16.00 OP

Applicant	S. Sarnikowski et al.	<p style="text-align: center;">REQUEST FOR CORRECTED FILING RECEIPT</p> 
Serial No.	09/513,937	
Filing Date	February 28, 2000	
Group Art Unit	2739	
Examiner	Unknown	
Attorney Docket No.	100.112US02	
Title: SERVICE DELIVERY UNIT FOR AN ENTERPRISE NETWORK		

Commissioner for Patents  
Washington, D.C. 2 0231

Sir:

Applicants hereby make a request for correction of the Filing Receipt with respect to the above-identified application. In the Filing Receipt mailed April 24, 2000 (copy enclosed), the *Attorney Docket No.* should be changed to **100.112US02**. Address of Applicants' Representatives should be changed to: **FOGG SLIFER & POLGLAZE, P.A., P.O. BOX 581009, MINNEAPOLIS, MN 55458-1009.**

In the *Continuing Data* the information incorrect. It should read as follows:

THIS APPLN IS A **CONT** OF 09/342,682 06/29/99

A copy of the Filing Receipt with the changes marked in red and highlighted is enclosed. Applicants would appreciate that a new "corrected" Filing Receipt be sent to Applicants' Representatives at the below address.

Date: November 9, 2000

Respectfully submitted,

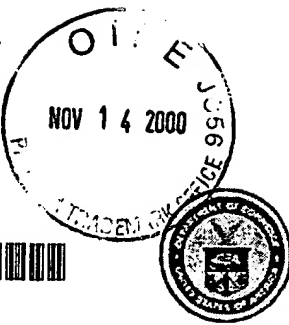
\_\_\_\_\_  
David N. Fogg  
Reg. No. 35,138

Attorneys for Applicant  
Fogg Slifer & Polglaze, PA  
P.O. Box 581009  
Minneapolis, MN 55458-1009  
T - 612/252-0014  
F - 612/252-0019

## FILING RECEIPT



\*OC000000005071392\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/513,937	02/28/2000	2739	0	500-749US2	4	21	4

100-1124502

Schwegman Lundberg Woessner & Kluth P.A.  
Post Office Box 2938  
Minneapolis, MN 55402FOGG SLIFER & POLGLAZE, P.A.  
P.O. Box 581009  
Minneapolis, MN 55458-1009

D✓ 28 MAY 2000 - 3 MO. IDS

Date Mailed: 04/24/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Scott Sarnikowski, San Jose, CA ;  
Anirudha Chinmulgund, San Jose, CA ;  
Sanjay Ravindra, San Jose, CA ;  
Manoj Kulkarni, Cupertino, CA ;  
Anil Lakhwara, San Francisco, CA ;  
John Litster, Beaverton, OR ;  
Harikrishin W. Hirani, Los Altos, CA ;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A DIV OF 09/342,682 06/29/1999

CONT

## Foreign Applications

If Required, Foreign Filing License Granted 04/24/2000

\*\*

## Title

Service delivery unit for an enterprise network

## Preliminary Class

370

Schwegman, Lundberg,  
Woessner & Kluth P.A.

MAY 01 2000

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